

Patent  
Attorney Docket No. 4391

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Brian E. Dalton	)	
		)	Group (not assigned)
		)	
Serial No.:	(not assigned)	)	
		)	
Filed:	(not assigned)	)	
		)	
For:	BONE FIXATION ASSEMBLY AND	)	
	METHOD OF SECUREMENT	)	
			Pittsburgh, Pennsylvania

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents

Washington, D.C. 20231

Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases, this Information Disclosure Statement provides citations relating to the captioned application. Applicant hereby requests the Examiner to review and make an independent evaluation of each listed citation.

There are 4U.S. Patent citations, which are listed on the attached PTO-1449 Form and copies of all citations listed are enclosed.

In addition to the accompanying citations, Applicant, and/or the undersigned attorney may have seen or considered other information relating to the subject invention; however,

it is their best present recollection and judgement that they have not seen or considered any such other information which is material to the examination and/or patentability of the captioned application.

This Information Disclosure Statement is being filed solely for the purpose of compliance with the above-identified rules and is not intended to be a substitute for either an independent evaluation of the cited information by the Examiner or an independent search by the Examiner and no representation of any nature is made or intended by this statement.

Respectfully submitted,

CAROTHERS AND CAROTHERS

A handwritten signature in black ink, appearing to read "Floyd B. Carothers". The signature is fluid and cursive, with the first name "Floyd" and last name "Carothers" clearly distinguishable.

Floyd B. Carothers Attorney for  
Brian E. Dalton

Reg. No. 242,252  
(412) 471-3575  
(412) 281-2180 (fax)

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Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number		
			Filing Date		
			First Named Inventor	Dalton, Brian E.	
			Art Unit		
			Examiner Name		
Sheet	1	of	1	Attorney Docket Number	4391

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,602,255	08/05/03	Campbell et al.	
		US- 6,565,565	05/20/03	Yuan et al.	
		US- 6,331,179	12/18/01	Freid et al.	
		US- 6,235,033	05/22/01	Brace et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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